

# Treasury Audit & Financial Risk Management Policy Reviews

## FINANCIAL GOVERNANCE RESOURCES



### Policy Reviews

**EXEM Australia Pty Ltd** provides expert services to review and audit existing **Financial Risk Management (FRM)** systems. This ensures your Treasury Policies & risk management methodologies are 'best practice' Corporate Governance. We also ensure you are fully measuring and reporting risk and capturing all the value available to the company.

### Directors Responsibilities

For Directors and Senior Management to properly fulfil their ASIC obligations for corporate governance, a well constructed up-to-date Treasury Risk Management Policy is essential. **EXEM's** services give Directors confidence that the FRM areas of their Corporate Governance Policy are up-to-date and fully addressing the financial risks of their company.

### Benefits

- Ensure Directors and Senior Management are fulfilling their Corporate Governance obligations
- Minimise opportunities for Operating Risk losses (losses from error, omission or fraud)
- Ensure controls over Treasury functions are pervasive & best practice
- Ensure Treasury Reporting is providing management with quality & timely information
- Identify where further efficiencies / cost reductions can be achieved in hedging Foreign Exchange & Interest Rate exposures
- Investigate creating further efficiencies in investment programs, financing structures and transactional banking
- Provide access to specialised expertise not available in-house
- Potentially lowers your companies business risk insurance costs
- Ensure Treasury functions have not become fragmented due to organisation growth & evolution

### Our Services

- Review & update of financial risk management systems and Treasury Policies
- Cost effective, independent and objective Treasury advisory services
- Specialised knowledge to identify and implement necessary measurement methodologies, risk management programs & controls
- Save on management time & take all the difficulty and uncertainty out of this process
- Ensure segregation of duties and authority levels are appropriate for your organisation
- Dedicated access to expert experienced 'hands-on' Treasury professionals



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FINANCIAL RISK  
MANAGEMENT SERVICES

FINANCIAL GOVERNANCE RESOURCES



Many of our client companies have not revisited the structure of their financial governance systems for an extended period of time. In these cases, it's likely they are not realizing the full benefits (both financial and in risk management) that this can provide.

Financial Risk Management systems and Treasury Policy must be periodically reviewed. This ensures evolutionary changes in an organisation have not created gaps of risk. It also ensures that current systems match the organisations structure/risk profile.

Legacy systems and policies which were once adequate may now be opening an organisation up to risks, costing money or be unsuited to current needs. A review ensures that discrete financial risks are not inadvertently being overlooked and Treasury Risk Management Policies fully recognise current levels of business risks.

EXEM's review of FRM governance and reporting helps ensure your company is equipped with the proper management tools and controls for good financial governance over its key financial risks. We provide the professional expertise to ensure this is undertaken with minimal demands on your management time and negligible operational disruption.

## Business Risks Addressed

Exem's Treasury Policy Audit & Financial Risk Management review investigates the following areas.

- Liquidity Risk
- Foreign Exchange Risk
- Interest Rate Risk
- Specialised Financial Products
- Financing & Funding Activities
- Operational Risk
- Banking Services
- Treasury Reporting

## For Further Information

For obligation free enquiries please contact:

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